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(1) Applicant: RESEARCH DEVELOPMENT CORPORATION OF JAPAN 4-1-8, Hon-cho Kawaguchi-shi, Saitama-ken 322 (JP)

(1) Applicant: Suzuki, Tsuyoshi 305 Hashimura-Biru, Higashikushihara Kurume-shi, Fukuoka (JP)

(1) Applicant: Nakashima, Kazuaki 103 Otsubo-Haitsu, Oaza-Tonoharu Chikushino-shi, Fukuoka (JP) (72) Inventor: Shinka, Seiji
2-13-17, Mitoma, Higashi-ku
Fukuoka-shi, Fukuoka (JP)
Inventor: Suzuki, Tsuyoshi
305 Hashimura-Biru
Higashikushihara, Kurume-shi, Fukuoka (JP)
Inventor: Nakashima, Kazuaki
103 Otsubo-Haitsu,
Oaza-Tonoharu
Chikushino-shi, Fukuoka (JP)

(4) Representative: Matthews, Derek Peter Frank B. Dehn & Co. Imperial House 15-19 Kingsway London WC2B 6UZ (GB)

(54) A process for the purification of fullerenes.

(5) Purification of fullerenes from a fullerene mixture may be achieved using calixarenes, in particular calix[8]arenes. The fullerene/calixarene complexes are also claimed.

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# Field of the Invention

The present invention relates to a process for the purification of fullerenes, by which a specific fullerene, such as  $C_{60}$ , can be selectively obtained from a mixture of fullerenes.

# The Prior Art

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Fullerenes are carbon-clustered spherical or ellipsoidal shell molecules typified by  $C_{60}$ . The molecules have had a great impact on the world of science since the discovery thereof by Kroto and Smalley in 1985, and have recently attracted considerable attention in various fields of industry.

In particular, in 1990 Kratshmer and Hoffman established a method for the mass synthesis of  $C_{80}$ , a spherical molecule in the shape of a soccerball consisting of 60 carbon atoms. Since then, the chemistry of  $C_{60}$  has developed in various aspects, not only as a single molecule but also in terms of molecular assemblies and derivatives therefrom. In 1991, the discovery by Hebard that a thin film of  $C_{60}$  doped with potassium exhibits superconductivity at Tc=18°K received considerable public attention. A paper published in 1993 reported that a  $C_{80}$ -derivative exhibits an activity suppressing effect against AIDS. Thus, there are growing expectations for fullerene applications.

Currently fullerenes are provided in the form of soot obtained by the laser vaporization or arc discharging of carbon materials such as graphite. The soot is a mixture of the preponderant component,  $C_{60}$ , and some other bigger fullerenes such as  $C_{70}$ . There is therefore a need for efficient purification of fullerenes, in order to obtain a desired fullerene at a high purity in a high yield.

The best known conventional method for the purification of fullerenes is a chromatographic one using various types of stationary phases. However, this method is not satisfactory. For example, the use of neutral alumina as the stationary phase is disadvantageous in that an extremely large amount of hexane is needed as the developing agent because the fullerene mixture has a low solubility in hexane. When activated charcoal is used as the stationary phase, the C<sub>60</sub> adsorbed onto the charcoal is difficult to recover.

By nature, chromatographic methods suffer from the drawback that they cannot be scaled up so as to be suitable for use in purification on an industrial scale. In addition it is said that the rate of recovery of fullerenes by chromatographic methods is at most 60 percent.

Thus, fullerenes are currently very expensive since no simple and efficient process has yet been established for the purification thereof. This has been a severe obstacle to further studies on fullerenes and development of fullerene applications.

# Summary of the Invention

After extensive studies to overcome the above-mentioned disadvantages, the present inventors have accomplished a novel process by which fullerenes can be efficiently purified on a large scale utilizing "calixarenes".

Calixarenes to be used in the present invention are cyclic or ring oligomers composed of plural phenol units linked by methylene bridges, as shown by the formula(1) below, in which n is generally an integer of 3 to 8 and X is selected from various functional groups and atomic groups.

$$X \longrightarrow CH_2$$
 $OH$ 
 $OH$ 
 $OH$ 
 $CH_2$ 
 $OH$ 
 $CH_2$ 
 $OH$ 
 $CH_2$ 
 $OH$ 
 $CH_2$ 
 $OH$ 
 $CH_2$ 

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Calixarenes are capable of including atoms or molecules in the cavities formed by the rings, where the sizes and shapes of the cavities can be varied by, for example, changing the number of the phenol units. Thus, calixarenes have played important roles as a host molecule in host-guest chemistry. Recently, studies have also been made on applications utilizing the characteristic features of calixarenes.

The present invention is based on the inclusion capability of calixarenes: A calixarene is used which has a ring size adaptable for the molecular size of a desired fullerene so as to form a calixarene/fullerene complex, from which the fullerene is later separated for recovery. For example, calixarenes having eight phenol units, i.e. calix[8]arene, are suitably used for separation of C<sub>60</sub>.

Thus, according to the concept on which the present invention is based, fullerenes can be purified by adding a calixarene to a solution of a fullerene mixture so as to form a calixarene/fullerene complex and decomposing the complex to recover a specific fullerene.

In conducting the process based on the present invention, although the solution of the fullerene mixture is most generally one in an organic solvent (a non-aqueous solvent), an aqueous solution may be employed. For example, a water-soluble calixarene, which can be obtained by substituting appropriate functional groups for the OH or the R's in the formula(1), is used to include a fullerene therein to form a calixarene/fullerene complex in an aqueous solution. Then, for decomposing the complex, the aqueous solution is subjected to a filtration, where the filtrate containing the complex is transferred into an organic solvent phase to separate the fullerene for recovery.

However, the most preferred embodiment of the present invention is based on the discovery that some calix[8] arenes form a complex specifically with  $C_{80}$  in a benzene-type solvent, which complex precipitates in the solvent, but no precipitations occur with other fullerene compounds. Thus, according to the present invention, there is provided a process for purifying fullerenes which comprises a first step of adding a calix[8] arene expressed by the general formula(2) given below, in which R represents an alkyl group having 1 to 5 carbon atoms, to a solution obtained by dissolving a mixture of fullerenes containing  $C_{80}$  in a benzene-type solvent, so as to precipitate  $C_{60}$  as a complex with the calix[8] arene, and a second step of decomposing the precipitated complex to separate and recover  $C_{60}$ .

In the formula(2), R represents an alkyl group having 1 to five carbon atoms, preferably an alkyl group having 2, 3 or 4 carbon atoms, with isopropyl group and t-butyl group being most preferred. Thus, the most preferred calixarene to be used in the present invention is p-isopropylcalix[8]arene, as expressed by the formula(3) or (4), and p-t-butylcalix[8]arene, as expressed by the formula (5) or (6).

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$$CH_2$$
 (3)  $CH(CH_3)_2$  8

P-alkylcalix[8]arenes as expressed by the formula (2) and to be used in the present invention can be prepared by a method as described in the literature (for example, Gutsche et al., J. Am. Chem. Soc., 103, 3782(1981)). Thus, the dehydration/condensation reaction is carried out between p-alkylphenol and formal-dehyde in the presence of potassium hydroxide under a xylene reflux to produce the corresponding calixarene at a yield of 40 to 90%. For example, p-isopropylcalix[8]arene is produced from p-isopropylphenol by such method at a yield of 80 - 85%.

As the benzene-type solvent to be used for the precipitation in the first step of the process of the present invention there can be exemplified such solvents as benzene, toluene, xylene and ethylbenzene, with benzene and toluene being preferred. In the case of p-t-butylcalix[8]arene, it is preferred to use toluene, while the use of benzene is somewhat disadvantageous in terms of  $C_{60}$  purification because not only  $C_{60}$  but also  $C_{70}$  are precipitated as complexes in benzene.

The use of p-isopropylcalix[8] arene in the process of the invention is advantageous in that  $C_{60}$  is selectively precipitated in the form of the complex both in toluene and in benzene, with the precipitation rate being somewhat accelerated in benzene. The use of p-isopropylcalix[8] arene has a further advantage in that less volume of the solvent (chloroform) needs to be used in the second step for decomposing the complex formed in the first step: This is because there exists a great difference in the solubility in benzene between the complex and  $C_{80}$ , resulting in an efficient precipitation of  $C_{80}$  and, accordingly, high recovery thereof.

There is no restriction on the amount of the calix[8] arene to be used in the first step of the present invention. Generally the calixarene is used in such an amount as 0.1 to 100 times in moles, preferably 1 to 2 times in moles, relative to the amount of  $C_{60}$  contained in the fullerene mixture.

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While there is no specific restriction on the amount of the benzene-type solvent to be used, the solvent is preferably used in the range of 2.8 to 28 litre per gram of  $C_{60}$  and 0.5 to 5 litre per gram of the calix[8]arene. The use of the solvent in a smaller amount may tend to form depositions of  $C_{60}$  and/or the calix[8]arene, thereby leading to failure in the formation of the calixarene/ $C_{60}$  complex precipitates of a homogeneous composition. On the other hand the use of a higher amount of solvent may prolong the time for the formation of the calixarene/ $C_{60}$  complex. It is to be noted that the first step for the precipitate formation can be carried out at room temperature.

In the process of the present invention, a complex formation reaction occurs between  $C_{80}$  and calix[8]arene dissolved in a benzene-type solvent, and the resultant complex precipitates in the solvent. The mechanism of this phenomenon is thought to be as follows: The calixarene is dissolved in the benzene-type solvent, with its OH groups being intermolecularly hydrogen-bonded with one another in a cyclic array. However, when  $C_{80}$  is included in the calixarene, the OH groups are undone from one another so as to be exposed in the solvent, resulting in the precipitation of the  $C_{80}$ /calixarene complex presumably due to occurrence of the interactions of the OH groups between the complexes and/or the change in the solubility of the complex.

According to the preferred embodiment of the present invention,  $C_{60}$  of an extremely high purity can be obtained by adding to the first step (for the formation of the complex precipitate) the operation of dissolving the precipitate and then regenerating the precipitate.

Thus, the precipitate formed by the addition of the calix[8] arene in the first step of the process of the present invention is once separated from the benzene-type solvent. This separation may be accomplished in any manner, such as by filtration or centrifugation. The precipitate thus separated is again added to a benzene-type solvent, followed by heating to a temperature of 50 to  $100^{\circ}$ C. This results in dissolving the precipitate (the complex) in the solvent in the form of  $C_{60}$  and calix[8] arene reverted from the complex. Upon cooling the resultant solution back to room temperature, a precipitate is again formed.

Such operation consisting of the separation of the precipitate, the heating of the separated precipitate in a benzene-type solvent, and the cooling back to room temperature is conducted repeatedly as required. Then, the final precipitate is subjected to the second step of the process of the present invention. While the purity of the  $C_{60}$  obtained by one cycle of such operation depends upon the composition of the fullerene mixture as the raw material, repeated cycles of the operation makes it possible to secure  $C_{60}$  having a purity of almost 100%.

The filtrate formed in the first step of the process of the invention may contain higher fullerenes such as C<sub>70</sub>, which, if desired, can be recovered by an appropriate conventional method, e.g. by a chromatographic method.

Through a specific combination of the calixarene and the solvent, it is possible to precipitate plural fullerenes simultaneously in the first step of the process. For example, use of p-ethylcalix[8]arene, where R is ethyl in the formula(2), in combination with benzene makes it possible to co-precipitate  $C_{60}$  and  $C_{70}$ . In such system higher fullerenes other than  $C_{60}$  and  $C_{70}$  may be recovered from the precipitates of  $C_{60}$  and  $C_{70}$  in the first step of the process of the invention.

The process for the purification of fullerenes of the present invention includes the second step where the complex formed as a precipitate in the first step is decomposed for the separation and recovery of C<sub>60</sub>.

According to the preferred embodiment of the present invention, the second step comprises isolating or separating from the benzene-type solvent the precipitate (the  $C_{60}$ /calix[8]arene complex) formed in the first step, in which the separation may be carried out by, for example, filtration or centrifugation, and adding a chlor-ine-containing solvent to the precipitate to make the precipitate dissolve in the solvent. This results in the decomposition of the  $C_{60}$ /calix[8]arene complex, thereby causing the precipitation of  $C_{60}$  with the calix[8]arene being dissolved in the solvent. The  $C_{60}$  is recovered in a high purity.

As the chlorine-containing solvent to be used in the second step of the process of the invention there can be exemplified such solvents as chloroform, methylene chloride, carbon tetrachloride and tetrachloro ethane. Preferred solvents are chloroform and methylene chloride because each has a large difference between its ability to dissolve  $C_{60}$  and its ability to dissolve calix[8]arene, thereby enabling efficient precipitation of  $C_{60}$ .

Particularly, use of chloroform is advantageous in that the decomposition of the complex proceeds smoothly even at room temperature. When methylene chloride is used, some degree of system heating is required.

There is no specific restriction on the amount of the chlorine-containing solvent to be used in the second step of the process of the invention. With chloroform, it is preferred to use the solvent in an amount of 100 to 300 litre per gram of the calix[8]arene contained in the precipitate. A smaller amount of the solvent may lead to the contamination of  $C_{60}$  with the calix[8]arene, while use of a larger amount of the solvent tends to lower the recovery of  $C_{60}$ .

The filtrate formed in the second step of the process contains the calix[8] arene at high purity, possibly with a small amount of C<sub>60</sub>. They can be recovered for reuse, by removing the solvent.

For recovering  $C_{60}$ , the precipitate of the complex formed in the first step may alternatively be decomposed by any appropriate method other than by the addition of the chlorine-containing solvent so as to cause the full-erene precipitate. Such methods include heating the complex in the toluene so as to re-dissolve  $C_{60}$  and calix[8]arene, followed by the extraction of the calix[8]arene with an alkaline aqueous solution before the regeneration of the precipitate for the recovery of  $C_{60}$ . Alternatively, the complex may be heated in the solid state in order to recover  $C_{60}$  by means of gasification decomposition or fractional sublimation.

As can be seen from the foregoing, the process of the present invention makes it possible to purify a specific fullerene, particularly  $C_{60}$ , with a high purity or recovery, from a mixture of  $C_{60}$ ,  $C_{70}$  and other fullerenes. The process of the invention is also advantageous in that the amount of solvents used is very small. The process of the present invention does not require any sophisticated equipment and hence can easily be scaled up to a large scale substantially maintaining the laboratory data, thereby making the process suitable for use in industrial purification of fullerenes.

The present invention will be further illustrated by the following examples, which do not restrict the scope of the invention.

In the examples given below, there was used a fullerene mixture containing 72 weight % of  $C_{60}$  and 13 weight % of  $C_{70}$ . The purity of  $C_{60}$  is expressed in terms of 100 x  $C_{60}$  / ( $C_{60}+C_{70}$ ) (by weight %) and was determined by HPLC (high performance liquid chromatography).

#### **EXAMPLE 1**

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A fullerene mixture (200mg) containing 144mg (0.2mmol) of C<sub>80</sub> was dissolved in 60ml of toluene. The solution was added with a solution of 389mg (0.3mmol) of p-t-butylcalix[8]arene in 200ml of toluene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about three hours. After stirring was conducted for fifteen hours, the system was subjected to filtration, by which 400mg (purity of 96.0%) of the precipitate was obtained.

The precipitate was added with 200ml of toluene, followed by heating to 100°C. The resulting solution was cooled to room temperature and stirred for fifteen hours. On subjecting the system to filtration, there was obtained 353mg of precipitate with 99.0% purity. The same operations (i.e., solvent addition to the precipitate, heating to 100°C, cooling to room temperature and filtration) were repeated, whereby there was obtained 353mg of the precipitate with a purity of 99.8%.

The result of elemental analysis (C:87.96%, H:5.79%) showed that the precipitate is a 1:1 complex of C<sub>60</sub> and p-t-butylcalix[8]arene.

The precipitate thus obtained was added with 30ml of chloroform, followed by stirring for one hour at room temperature. When the system was subjected to filtration, there was obtained 100mg of a black solid, which was identified as being  $C_{60}$  through carbon-13NMR analysis. The purity of the  $C_{60}$  was determined to be 99.8% by HPLC. The recovery of the  $C_{60}$  was 71%.

The total amounts of the solvents used in obtaining the  $C_{60}$  with the high purity were very small, i.e., about 860ml for toluene and about 300ml for chloroform. The values are extremely small as compared with those in the case of a conventional method. For example, they are about one-fifth the total amounts in the case of the method using neutral alumina.

## **EXAMPLE 2**

A fullerene mixture (200mg) containing 144mg (0.2mmol) of  $C_{60}$  was dissolved in 60ml of toluene. The solution was added with a solution of 389mg (0.3mmol) of p-t-butylcalix[8]arene in 200ml of toluene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about three hours. After stirring was conducted for fifteen hours, the system was subjected to filtration, by which 400mg (purity of 96.0%) of the precipitate was obtained.

The precipitate thus obtained was added with 30ml of methylene chloride, followed by stirring for two hours

at  $40^{\circ}$ C under reflux. When the system was subjected to filtration, there was obtained 125mg of a black solid. The purity of the  $C_{60}$  was determined to be 96.0% by HPLC. The recovery of the  $C_{60}$  was 83%, and the amount of the solvents used was only 290ml.

#### 5 EXAMPLE 3

A fullerene mixture (200mg) containing 144mg (0.2mmol) of C<sub>60</sub> was dissolved in 100ml of benzene. The solution was added with a solution of 389mg (0.3mmol) of p-t-butylcalix[8]arene in 100ml of benzene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about one hour. After stirring was conducted for five hours, the system was subjected to filtration, by which 427mg (purity of 90.0%) of the precipitate was obtained.

The precipitate thus obtained was added with 30ml of chloroform, followed by stirring for one hour at room temperature. When the system was subjected to filtration, there was obtained 130mg of a black solid. The purity of the  $C_{60}$  was determined to be 99.8% by HPLC.

### **EXAMPLE 4**

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Afullerene mixture (200mg) containing 144mg (0.2mmol) of C<sub>60</sub> was dissolved in 60ml of toluene. The solution was added with a solution of 356mg (0.3mmol) of p-isopropylcalix[8]arene in 150ml of toluene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about six hours. After stirring was conducted for thirty hours, the system was subjected to filtration, by which 880mg (purity of 96.0%) of the precipitate was obtained.

The precipitate thus obtained was added with 30ml of chloroform, followed by stirring for one hour at room temperature. When the system was subjected to filtration, there was obtained 135mg of a black solid. The purity of the  $C_{60}$  was determined to be 96.0% by HPLC. The recovery of the  $C_{60}$  was 90%.

## **EXAMPLE 5**

A fullerene mixture (200mg) containing 144mg (0.2mmol) of  $C_{60}$  was dissolved in 100ml of benzene. The solution was added with a solution of 356mg (0.3mmol) of p-isopropylcalix[8]arene in 100ml of benzene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about one hour. After stirring was conducted for five hours, the system was subjected to filtration, by which 380mg (purity of 96.0%) of the precipitate was obtained.

The precipitate was added with 100ml of benzene, followed by heating to 80°C. The resulting solution was cooled to room temperature and stirred for five hours. On subjecting the system to filtration, there was obtained 335mg of precipitate with 99.0% purity. The same operation (i.e., solvent addition to the precipitate, heating to 80°C, cooling to room temperature and filtration) were repeated, whereby there was obtained precipitate with purity of 99.8%.

The precipitate thus obtained was added with 10ml of chloroform, followed by stirring for one hour at room temperature. When the system was subjected to filtration, there was obtained 109mg of a black solid. The purity of the  $C_{60}$  was determined to be 99.8% by HPLC. The recovery of the  $C_{60}$  was 75%.

# **EXAMPLE 6**

A fullerene mixture (200mg) containing 144mg (0.2mmol) of  $C_{60}$  was dissolved in 60ml of benzene. The solution was added with a solution of 322mg (0.3mmol) of p-ethylcalix[8]arene in 200ml of benzene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about one hour. After stirring was conducted for five hours, the system was subjected to filtration, by which 414mg (purity of 92.0%) of the precipitate was obtained.

The precipitate thus obtained was added with 50ml of chloroform, followed by stirring for one hour at room temperature. When the system was subjected to filtration, there was obtained 125mg of a black solid. The purity of the  $C_{60}$  was determined to be 92.0% by HPLC. The recovery of the  $C_{60}$  was 80%.

# 55 Claims

 A process for purifying fullerenes which comprises adding a calixarene to a solution of a fullerene mixture so as to form a calixarene/fullerene complex and decomposing the mixture to recover a specific fullerene.

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2. A process for purifying fullerenes which comprises a first step of adding a calix[8] arene expressed by the general formula given below, in which R represents an alkyl group having 1 to 5 carbon atoms, to a solution of a mixture of fullerenes containing C<sub>60</sub> in a benzene-type solvent so as to precipitate C<sub>60</sub> as a complex with the calix[8] arene, and a second step of decomposing the precipitated complex to separate and recover C<sub>60</sub>.

- 3. The process for purifying fullerenes as claimed in claim 2, in which the first step further includes separating the precipitate of the complex from the benzene-type solvent, adding said complex to a benzene-type solvent followed by heating to a temperature of 50 to 100°C so as to dissolve the complex in the solvent, and then cooling the resultant solution to room temperature again to precipitate the complex, such separation of the precipitate, heating of the separated precipitate in a benzene-type solvent and cooling of the solution to room temperature being conducted repeatedly as required, prior to providing a final precipitate to the second step.
  - 4. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the second step comprises separating from the benzene-type solvent the precipitate of the complex formed in the first step, and adding a chlorine-containing solvent to the separated precipitate to cause the precipitation of C<sub>60</sub> with the calix[8] arene being dissolved in the chlorine-containing solvent.
  - The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the benzene-type solvent is toluene or benzene.
- The process for purifying fullerenes as claimed in claim 4, in which the benzene-type solvent is toluene or benzene, and the chlorine-containing solvent is chloroform or methylene chloride.
  - 7. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the calix[8]arene is one where R is an alkyl group having 2, 3 or 4 carbon atoms.
- 40 8. The process for purifying fullerenes as claimed in claim 4, in which the calix[8]arene is one where R is an alkyl group having 2, 3 or 4 carbon atoms, and the chlorine-containing solvent is chloroform or methylene chloride.
- The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the calix[8]arene is p-iso-propylcalix[8]arene with R being isopropyl group, and the benzene-type solvent is benzene or toluene.
  - 10. The process for purifying fullerenes as claimed in claim 4, in which the calix[8]arene is p-isopropylcalix-[8]arene with R being isopropyl group, the benzene-type solvent is benzene or toluene, and the chlorine containing solvent is chloroform.
  - 11. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the calix[8]arene is p-t-butylcalix[8]arene with R being t-butyl group, and the benzene-type solvent is toluene.
- 12. The process for purifying fullerenes as claimed in claim 4, in which the calix[8] arene is p-t-butylcalix[8] arene with R being t-butyl group, and the benzene-type solvent is toluene, and the chlorine-containing solvent is chloroform.
  - 13. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the calix[8] arene is p-ethyl-

calix[8]arene with R being ethyl group, and the benzene-type solvent is benzene.

- 14. The process for purifying fullerenes as claimed in claim 4, in which the calix[8]arene in p-ethylcalix[8]arene with R being ethyl group, and the benzene-type solvent is benzene, and the chlorine-containing solvent is chloroform.
- 15. A complex of a calixarene and a fullerene.
- 16. A complex as claimed in claim 15 of a calix[8]arene as defined in claim 2 and C<sub>60</sub>.



# **EUROPEAN SEARCH REPORT**

Application Number
EP 95 30 0090

Category	Citation of document with it of relevant pa	DERED TO BE RELEVAN' adication, where appropriate, spages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CL.6)
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X:par Y:par doc A:ted O:noi	CATEGORY OF CITED DOCUME!  ticularly relevant if taken alone ticularly relevant if combined with and unsent of the same category hoological background  -written disclosure tymedizite document	NTS T: theory or principl E: earlier patent do	e underlying the sument, but publi ste to the application or other reasons	invention shed on, or